

FORM PTO-1390
(REV 10-94)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

09320.0174USWO

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)

Unknown 10/509667

INTERNATIONAL APPLICATION NO.

PCT/FR03/01292

INTERNATIONAL FILING DATE

23 April 2003

PRIORITY DATE CLAIMED

24 April 2002

TITLE OF INVENTION

OPTICAL COLLIMATOR FOR MONOMODE FIBRE COMPRISING A SECTION OF GRADED INDEX FIBRE, EXPANDED
CORE MONOMODE FIBRE AND CORRESPONDING PRODUCTION METHOD

APPLICANT(S) FOR DO/EO/US

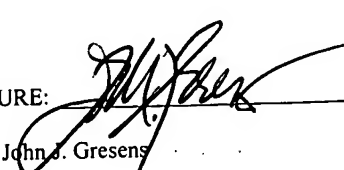
De Bougrenet De La Tocnaye et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:


1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(l).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: Application Data Sheet (5 pages), International Publication Page of WO 03/091755 A2, Certified copy of French Patent Application 02 05171 filed April 24, 2002, Communication Regarding Submission of Priority Document (1 page), 4 pages formal drawings

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) Unknown 10/509667		INTERNATIONAL APPLICATION NO. PCT/FR03/01292		ATTORNEY'S DOCKET NUMBER 09320.0174USWO	
17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a) (1)-(5)): Search Report has been prepared by the EPO or JPO..... \$920.00 International preliminary examination fee paid to USPTO (37 CFR 1.492(a)(1)) \$730.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$770.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(3)) paid to USPTO..... \$1080.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)..... \$100.00				CALCULATIONS PTO USE ONLY	
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$920.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$0.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	28 -20 =	8	X \$18.00	\$144.00	
Independent claims	1 -3 =	0	X \$86.00	\$0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$290.00	\$0.00	
TOTAL OF ABOVE CALCULATIONS =				\$1064.00	
Reduction by 1/2 for filing by small entity, if applicable. Small entity status is claimed pursuant to 37 CFR 1.27				\$0.00	
SUBTOTAL =				\$1064.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+ \$0.00	
TOTAL NATIONAL FEE =				\$1064.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+ \$0.00	
TOTAL FEES ENCLOSED =				\$1064.00	
				Amount to be: refunded	\$0.00
				charged	\$0.00
a. <input checked="" type="checkbox"/> Check(s) in the amount of <u>\$1064.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>13-2725</u> .					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: John J. Gresens MERCHANT & GOULD P.O. Box 2903 Minneapolis, MN 55402-0903					
				SIGNATURE:  NAME: John J. Gresens REGISTRATION NUMBER: 33,112	

Applicant: DE BOUGRENET DE LA TOCNAYE et al. 1- DT03 Rec'd PCT/PTO 27 SEP 2004
 Docket: 09320.0174USWO
 Title: OPTICAL FILTERING DEVICE USING A PROGRAMMABLE DIFFRACTIVE ELEMENT,
 AND CORRESPONDING SPATIAL SPECTRAL BAND ROUTER AND CHROMATIC
 DISPERSION COMPENSATION DEVICE

CERTIFICATE UNDER 37 CFR 1.10
 'Express Mail' mailing label number: EV 372672110 US
 Date of Deposit: September 27, 2004
 I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office
 To Addressee' service under 37 CFR 1.10 and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA
 22313-1450.
 By: 
 Name: David Ortiz

Mail Stop PCT
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Sir:

We are transmitting herewith the attached:


- ☒ Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.10.
- ☒ National Stage PCT Patent Application: Spec. 30 pgs; 28 claims; Abstract 1 pgs.
 The fee has been calculated as shown below in the 'Claims as Filed' table.
- ☒ 4 sheets of formal drawings
- ☒ Certified copy of a French application, Serial No. 02 05171, filed April 24, 2002, the right of priority of which
 is claimed under 35 U.S.C. 119
- ☒ An unsigned Combined Declaration and Power of Attorney
- ☒ A check in the amount of \$1064.00 to cover the Filing Fee
- ☒ Application Data Sheet, 5 pages.
- ☒ Other: International Publication Page of WO 03/091755 A2, Communication Regarding Submission of Priority
 Document, Form PTO-1390, Preliminary Amendment (9 pages)
- ☒ Return postcard

CLAIMS AS FILED

Number of Claims Filed		In Excess of:		Number Extra		Rate		Fee
Basic Filing Fee								\$920.00
Total Claims								
28	-	20	=	8	x	18.00	=	\$144.00
Independent Claims								
1	-	3	=	0	x	86.00	=	\$0.00
MULTIPLE DEPENDENT CLAIM FEE								\$0.00
TOTAL FILING FEE								\$1064.00

Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet
 is enclosed.

MERCHANT & GOULD P.C.
 P.O. Box 2903, Minneapolis, MN 55402-0903
 (612) 332-5300

By: 
 Name: John J. Gresens
 Reg. No.: 33,112
 Initials: JJG/acp

23552

PATENT TRADEMARK OFFICE

(PTO TRANSMITTAL - NEW FILING)

Applicant: DE BOUGRENET DE LA TOCNAYE et al.

DT04 Rec'd PCT/PTO 27 SEP 2004

Docket: 09320.0174USWO

Title: OPTICAL FILTERING DEVICE USING A PROGRAMMABLE DIFFRACTIVE ELEMENT,
AND CORRESPONDING SPATIAL SPECTRAL BAND ROUTER AND CHROMATIC
DISPERSION COMPENSATION DEVICE

CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number: EV 372672110 US

Date of Deposit: September 27, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office
To Addressee' service under 37 CFR 1.10 and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA
22313-1450.By: 

Name: David Ortiz

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

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Document, Form PTO-1390, Preliminary Amendment (9 pages)
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Number of Claims Filed		In Excess of:		Number Extra		Rate		Fee
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Total Claims								
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Independent Claims								
1	-	3	=	0	x	86.00	=	\$0.00
MULTIPLE DEPENDENT CLAIM FEE								\$0.00
TOTAL FILING FEE								\$1064.00

Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet
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P.O. Box 2903, Minneapolis, MN 55402-0903
(612) 332-5300By: 

Name: John J. Gresens

Reg. No.: 33,112

Initials: JJG/acp

23552

PATENT TRADEMARK OFFICE

(PTO TRANSMITTAL - NEW FILING)